



Handwashing Research Summary: What we learned about handwashing in the second half of 2016

Between July and December 2016, we identified 37 relevant peer-reviewed studies on handwashing. Observational studies were mostly cross-sectional studies. Experimental studies included community-based cluster randomized trials, randomized trials, and non-randomized / quasi-experimental studies.

Benefits of Handwashing

Big Idea: Handwashing is one of the most cost-effective investments in public health and the economic benefit from handwashing is not unique to the prevention of diarrhea and pneumonia, but also the majority of healthcare-associated infections, which are extremely costly to individuals, healthcare systems, and countries.

Overall Burden of Disease

The [Global Burden of Disease Study](#) reported the attributable burden of disease associated with risk factors exposure indicates that prevalence of no handwashing has steadily declined since the 1990s, and mortality and DALYs attributed to no handwashing has also been declining since 2000.¹

Cost of poor handwashing

Researchers used DALYs estimates from the WHO to estimate the annual net cost due to diarrhea and acute respiratory infection (ARI) in India and China, as well as the cost and monetary benefits of handwashing. Annual net cost for diarrhea and ARIs was \$23 billion USD per year for India. The estimated cost of national handwashing program for India would be \$62 million, while the total saving to the Indian economy would be \$5.64 billion, a 92-fold return on investment. Annual net cost for in China was \$12 billion USD per year, whereas a national handwashing program would cost \$77 million and bring \$2.64 billion in saving to the Chinese economy, a 35-fold return on investment.²

Diarrhea and Acute Respiratory Infection

Home-based, multiple-component interventions reduced childhood diarrhea, but had no observable effect on acute lower-respiratory infection. A multiple-component randomized controlled trial in 51 rural communities in Peru compared incidence of diarrhea and acute respiratory infection among children under the age of 36 months, comparing the intervention group (who received a combined intervention, including hygiene promotion) with the control group (who received an early-child stimulation program). At the 12-month follow-up, the incidence of diarrhea in the intervention group was 2.8 episodes per child-year, compared to 3.1 episodes per child-year in the control arm.³

Bacterial Infection

Existing handwashing practice at home among primary caregivers in Zimbabwe was effective in reducing *E. coli* contamination of hands. Researchers obtained hand rinse samples from 173 primary caregivers in Harare and compared the *E. coli* counts before and after handwashing. The results showed that *E. coli* contamination on hands was significantly lower after cleaning under fingernails, scrubbing the fingertips, using soap, and drying hands through rubbing on clothes or a clean towel than before handwashing.⁴

Researchers found that use of communal handwashing bowls in preschools in Accra, Ghana, tends to reduce the effectiveness of eliminating microbes. Researchers collected water samples from communal handwashing bowls and hand swabs from children in 6 preschools and found bacterial isolates among samples from all the schools, with *Staphylococcus* bacteria being the most common microbe. Researchers also found *Cryptosporidium parvum* parasite and *Aspergillus niger* fungus, among others.⁵

| Benefits of handwashing | Location |
|---|----------|
| Overall cost and burden of disease | |
| The attributable burden of disease associated with risk factors exposure indicates that prevalence of no handwashing has steadily declined since the 1990s, and mortality and DALYs attributed to no handwashing has also been declining since 2000. ¹ | Global |
| Annual net cost of diarrhea and ARI was \$23 billion per year, while estimated cost of a national handwashing program would be \$62 million and bring \$5.64 billion in savings. ² | India |
| Annual net cost diarrhea and ARI was \$12 billion per year, while estimated cost of a national handwashing program would be \$77 million and bring \$2.64 billion in saving. ² | China |
| Diarrhea and acute respiratory infection | |
| The incidence of diarrhea among children under the age of 36 months who received early-child stimulation combined with hygiene promotion was 2.8 episodes per child-year compared to 3.1 episodes per child-year who only received an early-child stimulation. ³ | Peru |
| Bacterial infections | |
| <i>E. coli</i> contamination on hands of primary caregivers was significantly lower after cleaning under fingernails, scrubbing the fingertips, using soap, and drying hands through rubbing on clothes or a clean towel than before handwashing. ⁴ | Zimbabwe |
| Use of communal handwashing bowls in preschools tends to reduce effectiveness of eliminating microbes. Samples detected <i>Staphylococcus</i> bacteria as the most common microbe found. ⁵ | Ghana |

Handwashing Behavior Compliance

Big Idea: There is a gap between knowledge about handwashing with soap and optimal handwashing behavior.

Hand hygiene in Healthcare Facilities

In Taiwan, researchers recruited 93 health-profession students to covertly observe 25,379 hand cleansing events among healthcare workers (85% doctors, 12% nurses, 3% caregivers and other professionals) at hospitals using a novel shorthand notation method based on the World Health Organization's (WHO) "Five moments for hand hygiene". Overall hand hygiene compliance was 32%, with the highest compliance occurring after touching a patient (42%) and the lowest compliance after touching the patient's surroundings (22%).⁶ Similarly, researchers used covert observations also based on the "Five moments for hand hygiene" at a teaching hospital in Kingston, Jamaica and found overall compliance to be 39%; the highest level of compliance occurring after patient contact (54%) and the lowest before performing aseptic procedure (18%).⁷

In Kosovo, observation of hand hygiene among 67 nurses at a hospital in Pristina showed that overall compliance was 51.3%. Handwashing was highest after body fluid exposure risk (93%) and lowest before touching a patient (18.5%).⁸ In Colombia, healthcare workers at obstetric units indicated that they were aware of hand hygiene checklists and had relatively positive attitudes towards them. However, actual compliance of safe behaviors, including handwashing, was relatively low.⁹ In Iran, glove wearing/removal was significantly associated with hand hygiene compliance among nurses. Direct observation showed that before putting on gloves, hand hygiene compliance was 14.8%, while after removing gloves, compliance was 56.6%.¹⁰ A study among medical students in Qatar showed 85.5% of students had sufficient knowledge about hand hygiene and reported practicing hand hygiene routinely, but only 33.87% were aware of the minimal time needed.¹¹

Handwashing in Schools

A study at schools in Chennai, India, found discrepancy between knowledge and practice of handwashing, with 85.6% of students having knowledge about the need to wash hands at critical times (before eating and after

using the toilet), but only 24.9% actually practicing proper handwashing.¹² In Nigeria, the extent of handwashing practice among secondary school students was assessed using a self-reported 3-point scale to determine the extent of handwashing. The study showed handwashing was seldom practiced, with handwashing occurring more frequently after touching genitals than before eating meals or after using toilets.¹³

Handwashing among caregivers of children age under 5 years

In India, a community-based cross-sectional study of caregivers of children under 5 years of age found differences between urban and rural areas with regards to self-reported handwashing with soap after using the toilet (94% and 54%, respectively), before preparing food (74% and 13%), before feeding a child (79% and 27%), and after contact with a child's feces (98% and 85%).¹⁴

| Handwashing behavior | Method | Location |
|--|--------------------|----------|
| Handwashing in healthcare facilities | | |
| Hand hygiene compliance among healthcare workers was 32% and ranged from 22% (after touching patient's surrounding) to 42% (after touching a patient). ⁶ | Direct Observation | Taiwan |
| Hand hygiene compliance at a teaching hospital was 39%, with the highest level of compliance after patient contact (54%) and lowest before performing aseptic procedure (18%). ⁷ | Direct Observation | Jamaica |
| Overall compliance among nurses was highest after body fluid exposure risk (93%) and lowest before touching a patient (18.5%). ⁸ | Direct observation | Kosovo |
| Compliance with safe behaviors, including handwashing, was relatively low at obstetric units despite awareness of the benefits of handwashing. ⁹ | Self-reporting | Colombia |
| Glove wearing/removal was significantly associated with hand hygiene compliance among nurses, with 14.8% before putting on gloves and 56.6% after removal. ¹⁰ | Direct observation | Iran |
| 85.5% of medical students had knowledge about hand hygiene and reported practicing routinely, but only 33.87% were aware of the minimal time needed. ¹¹ | Self-reporting | Qatar |
| Handwashing in schools | | |
| 85.6% of students had knowledge of the need to wash hands at critical times but only 24.9% actually practicing proper handwashing. ¹² | N/A | India |
| The extent of handwashing practice among secondary school students was low, with handwashing occurring more frequently after touching genitals than before eating meals and after using toilets. ¹³ | Self-reporting | Nigeria |
| Handwashing among caregivers of children age under 5 years | | |
| In rural areas, 54% of caregivers washed their hands after using the toilet, 13% before preparing food, 27% before feeding a child, 85% after contact with a child's feces. ¹⁴ | Self-reporting | India |
| In urban areas, 94% of caregivers washed their hands after using the toilet, 74% before preparing food, 79% before feeding a child, 98% after contact with a child's feces. ¹⁴ | Self-reporting | |

Availability of Soap

In Bangladesh, researchers found that handwashing among cholera patients and their family members was very infrequent. Researchers made spot-checks for presence of soap at bathroom areas in a hospital in Dhaka and conducted 3-hour structured observations among 148 cholera patients and their visiting family members. Soap was observed at 7% of handwashing places during spot-checks. Just 3% of pathogen transmission events among patients and 5% of such events among family members involved handwashing with soap.¹⁵

Also in Bangladesh, in a nation-wide cross-sectional study, researchers observed hand hygiene infrastructure and behavior at 875 inpatient healthcare facilities. Researchers found that healthcare workers had better access to soap, better hand hygiene behavior, and performed more hand hygiene than patients and their families; but adherence level was still low. Soap was available at 78% to 92% of handwashing locations for doctors and nurses, but only 4% to 30% of handwashing locations designated for patients and their family members had soap. Healthcare workers washed their hands with soap during 7% of the opportunities (67/919), compared to 3% among family caregivers (93/2751) and 1% among patients (14/1006).¹⁶

| Availability of soap in households | Method | Location |
|---|-----------------------|------------|
| Soap was present in only 7% of handwashing places available to cholera patients and their families during spot-checks at hospitals. ¹⁵ | Direct observation | Bangladesh |
| 78% - 92% of handwashing locations for doctors and nurses had soap. 4% - 30% of handwashing locations for patients and family members had soap. ¹⁶ | Cross-sectional study | |

Behavior Change

Big Idea: Social norms are created and governed by the community, so people are more likely to wash their hands when others observe them.

Multiple Behavior Change Interventions

In Zambia, a community-based cluster randomized trial compared the effect of multiple behavior change interventions (e.g., radio messaging, clinic events, and community events) against control (e.g., standard care) on observed use of soap during handwashing. At the end of the study period, the intervention communities had higher prevalence of self-reported handwashing with soap after risk of contact with feces than the control communities (32% and 28%, respectively).¹⁷

In Healthcare Facilities

At a 160-bed, non-referral hospital in Rwanda, the introduction of alcohol-based hand rub combined with educating healthcare workers on proper hand hygiene practice and posting hand hygiene reminders throughout the workplace was associated with an increase in hand hygiene compliance from 34.1% at the baseline to 68.9% post intervention. There were significant increases in hand hygiene compliance before touching a patient, before a clean/aseptic procedure, after touching a patient, and after touching a patient's surroundings. However, hand hygiene compliance after body fluid exposure risk did not significantly change.¹⁸

Among New Mothers

In Bangladesh, researchers assessed whether pregnant women were more receptive to teachings about hand hygiene compliance than new mothers. Researchers provided one group of pregnant women with an intensive handwashing intervention during the perinatal period, while another group received the same intervention after the end of the perinatal period. Researchers found that the probability of handwashing at home 1-14 months later was not significantly different between the intervention and control groups.¹⁹

Children as Agents of Behavior Change

In Zambia, researchers used qualitative research methods to assess the extent that children disseminate information about WASH at home after learning about handwashing in school. The study found that pupils were generally enthusiastic about engaging with their parents and were successful at constructing handwashing stations at home. Mothers also reported trust in the messages that their children brought home from school. In other words, students were able to communicate knowledge to family members, thus, enacting small changes.²⁰

In Public Health Campaigns

In Thailand, oral cholera vaccine (OCV) campaigns were associated with an increase in reported handwashing practice. Residents of the Maela refugee camp received two doses of OCV combined with vaccination campaign-associated messaging (e.g., information about OCV, handwashing, other cholera prevention practices) and other WASH educational activities. Reported use of soap to wash hands was 66% at the baseline, 77% at the first follow-up, and 85% at the second follow-up. Observed availability of soap at the handwashing station was 84% at the baseline, 90% at the first follow-up, and 95% at the second follow-up.²¹

| Behavior Change | Method | Location |
|---|--------------------|------------|
| Handwashing behavior change in healthcare facilities | | |
| Communities that receive multiple behavior change interventions (e.g., radio messaging, clinic events, and community events) had higher prevalence of handwashing with soap at key times or after risk of contact with feces than communities receiving only standard care (32% vs. 28%). ¹⁷ | Direct observation | Zambia |
| Hygiene compliance increased from 34.1% to 68.9% when alcohol-based hand rub was combined with education on hand hygiene practice and the use of reminders throughout the workplace. ¹⁸ | N/A | Rwanda |
| Handwashing behavior change among new mothers | | |
| Probability of handwashing at home was not significantly different between new mothers who received intensive handwashing interventions during the perinatal period and new mothers who received the intervention after the perinatal period. ¹⁹ | N/A | Bangladesh |
| Handwashing behavior change among children as agents of change | | |
| School children are likely to disseminate information about WASH at home after learning about handwashing in school and were successful at constructing handwashing stations. Mothers also reported trust in the messages that their children brought home from school. ²⁰ | N/A | Zambia |
| Handwashing behavior change in public health campaigns | | |
| Reported use of soap to wash hands increased from 66% - 85% when key handwashing information, cholera prevention practices, and other WASH educational activities were shared during oral cholera vaccination campaigns. ²¹ | Self-reporting | Thailand |
| Availability of soap at handwashing stations increased from 84% - 95% when key handwashing information, cholera prevention practices, and other WASH educational activities were shared during oral cholera vaccination campaigns. ²¹ | Direct observation | |

Determinants of Handwashing

Big Idea: To wash their hands properly people must have access to the necessary materials, including a functional handwashing station with soap in a convenient location. When handwashing stations are visible and accessible they can serve as environmental cues to remind people to wash their hands.

In the Household & Community

A number of studies have looked at determinants of handwashing in the household and the community. A study of more than 1,000 households in 12 countries showed that handwashing frequency is associated with routine (i.e., how automatically it is performed), whether someone is busy or tired, and being concerned with good manners.²² Similarly, results of a UNICEF cross-sectional study of 1,700 households in three provinces in Indonesia showed that handwashing with soap was significantly associated with the

desire to smell nice, interpersonal influences, presence of handwashing places within 10 paces of the kitchen and the toilet, and key handwashing moments (i.e., after eating and after cleaning child's stools).²³

A community-based study in urban areas in India showed that determinants of not washing hands among mothers with children under 5 years of age included absence of soap and water at handwashing stations and lack of awareness of the importance of handwashing.²⁴ A qualitative study in Nepal suggested that hand hygiene habit formation was supported by ease of access to hardware and reinforcement of key hygiene behaviors, and that there were four key emotional drivers of hygiene behavior change: perceived threat, disgust, comfort, and shame/stigma.²⁵

In Schools

A cross-sectional study in Indonesia showed that handwashing practice was associated with subjective norms, but not with perceived barriers, availability of handwashing facilities, and sex.²⁶ A study in urban and rural schools in Karnataka, India, showed that handwashing was correlated with knowledge of hand hygiene and non-availability of handwashing spaces and soap.²⁷ A study in primary schools in Mumbai, India, showed that handwashing among students was infrequent and the primary reasons for not washing hands were forgetfulness and laziness.²⁸

In Healthcare Facilities

A number of studies have reported factors associated with handwashing in healthcare setting. A study on handwashing among 259 healthcare workers in rural India stated that barriers to hand hygiene included high workload, lack of resources, lack of scientific information, and perception that priority is not given to hand hygiene. Meanwhile, previous training is associated with self-reported hand hygiene practice.²⁹ However, in a study on hand hygiene knowledge, attitude, and practice among nursing students in Saudi Arabia, good attitude towards hand hygiene, being male, awareness of the benefits of hand hygiene, attending hand hygiene trainings and seminars, and being in the early years of the program were associated with better hand hygiene practice.³⁰

Work experience is positively associated with hand hygiene compliance among healthcare workers. In Cyprus, staff nurses (who had more experience) had significantly higher hand hygiene compliance and awareness of the importance of infection control than nursing students (who had less experience).³¹ A study on reported hand hygiene among medical students in Dominica showed that no handwashing was highest (30%) after cadaver work due to lack of time (41% of respondents who reported never washing their hands), lack of perceived necessity (31%), and unavailability of hygiene materials (28%).³²

Data collected from 233 dentists in Pakistan showed that common barriers to hand hygiene included lack of educational program, time constraint, inconvenient location of handwashing place, false sense of security against infection, lack of supplies, and lack of guidance.³³

| Determinants of handwashing | Location |
|--|-----------|
| Determinants of handwashing in household and community | |
| Frequency of handwashing with soap is associated with routine (i.e., how automatically it is performed), whether someone is busy or tired, and being concerned with good manners. ²² | Global |
| Handwashing with soap was significantly associated with the desire to smell nice, interpersonal influences, presence of handwashing places within 10 paces of the kitchen and the toilet, and key handwashing moments. ²³ | Indonesia |

| | |
|---|--------------|
| In urban settings, factors of no handwashing among mothers with children under 5 years of age included absence of soap and water at handwashing stations and lack of awareness of the importance of handwashing. ²⁴ | India |
| Ease of access to hardware and reinforcement of key hygiene behaviors when four key emotional drivers of hygiene behavior change (perceived threat, disgust, comfort, and shame/stigma) are present reinforced a handwashing habit. ²⁵ | Nepal |
| Determinants of handwashing in schools | |
| Handwashing practice is associated with subjective norms, but not with perceived barriers, availability of handwashing facilities, and sex. ²⁶ | Indonesia |
| Handwashing is correlated with knowledge of hand hygiene and non-availability of handwashing spaces and soap. ²⁷ | India |
| Primary reasons reported for not washing hands were forgetfulness and laziness. ²⁸ | |
| Determinants of handwashing in HCFs | |
| Barriers to hand hygiene among healthcare workers include high workload, lack of resources, lack of scientific information, and perception that priority is not given to hand hygiene, while previous training is associated with self-reported hand hygiene practice. ²⁹ | India |
| Better hand hygiene practice, hand hygiene knowledge, attitude, and practice among nursing students were associated with good attitude towards hand hygiene, being male, awareness of the benefits of hand hygiene, attending hand hygiene trainings and seminars, and being in the early years of the nursing program. ³⁰ | Saudi Arabia |
| Nurses with more healthcare experience have significantly higher hand hygiene compliance and awareness of the importance of infection control. ³¹ | Cyprus |
| Reported hand hygiene compliance among medical students was lowest (70%) after cadaver work due to lack of time (41% of respondents who reported never washing their hands), lack of perceived necessity (31%), and unavailability of hygiene materials (28%). ³² | Dominica |
| Common barriers to hand hygiene practice among dentists include lack of educational program, time constraint, inconvenient location of handwashing place, false sense of security against infection, lack of supplies, and lack of guidance. ³³ | Pakistan |

Efficacy of hand cleansing materials

A non-randomized trial in Bangladesh compared the uptake of soapy water in 4 study arms: 1) promotion of soapy water; 2) promotion of soapy water and handwashing stations (tap-fitted bucket and soapy water bottle); 3) soapy water promotion and handwashing stations and detergent refill; and 4) control arm (no intervention). At four months after delivery of intervention, soap or soapy water was found in 18% of households in the promotion-only arm, 60% in the promotion + handwashing station arm, 71% in promotion + station + detergent refill arm, and 6% in the control arm. Use of soapy water was associated with having a shared courtyard, perceived value of handwashing, ease of use, and convenience of soapy water.³⁴

A state of the science review of experimental studies comparing the benefits of hand hygiene products with antimicrobial agents, compared to the benefits of handwashing with plain soap, found no evidence that antimicrobial products had a superior effect compared to soap. A review of evidence of laboratory studies showed that antimicrobial products were superior to handwashing with soap only when the frequency, duration, and product concentrations were higher than a level that could be expected in low-income settings.³⁵ Similarly, a study comparing plain foaming and liquid soap with regard to microbial removal showed that both types had no statistically significant difference in microbial removal efficacy. However, foaming soap removed significantly fewer MS2 bacteriophage virus compared to *E. coli*.³⁶

Povidone-iodine scrub significantly reduces the number of colony-forming units of bacteria after application on bare hands, according to a study to estimate the effectiveness of five handwashing agents among clinical and paraclinical personnel in India. The agents included 1) plain water, 2) non-medicated soap, 3) alcohol-based hand sanitizer, 4) chlorhexidine-based cleaning agent, and 5) povidone iodine based antiseptic.³⁷

| Hand cleansing materials | Location |
|--|------------|
| A non-randomized trial compared the uptake of soapy water in 4 study arms showed that 4 months after delivery of intervention, soap or soapy water was found in 18% of households with only promotion; 60% of households with promotion and handwashing station; 71% in households with promotion, station, and detergent refill; 6% in houses with no intervention. ³⁴ | Bangladesh |
| No evidence that antimicrobial products had a superior effect compared to soap against handwashing with plain soap, except only when the frequency, duration, and product concentrations were higher than a level that could be expected in low-income settings. ³⁵ | N/A |
| There is no significant difference between plain foaming and liquid soap with regard to microbial removal. However, foaming soap removed significantly fewer MS2 bacteriophage virus compared to <i>E. coli</i> . ³⁶ | N/A |
| Povidone-iodine scrub significantly reduced the number of colony-forming units of bacteria after application on bare hands among clinical and paraclinical personnel. ³⁷ | India |

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